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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/014,341	12/11/2001	R. Seliger	S01389/70012 GSE

CONFIRMATION NO. 1518

## FORMALITIES LETTER



\*OC000000007298072\*

23628  
WOLF GREENFIELD & SACKS, PC  
FEDERAL RESERVE PLAZA  
600 ATLANTIC AVENUE  
BOSTON, MA 02210-2211

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$804.
  - \$594 for 66 total claims over 20.
  - \$210 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1239.

*A copy of this notice **MUST** be returned with the reply.*

*Christine*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/12/2002 CCHAUJ 00000058 10014341  
01 FC:201 370.00 0P  
02 FC:203 594.00 0P  
03 FC:202 210.00 0P  
04 FC:205 65.00 0P



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ATTORNEY'S DOCKET NO. S01389/70012 GSE  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert Seliger et al.  
Serial No: 10/014,341  
Filed: December 11, 2001  
For: CONTEXT MANAGEMENT WITH AUDIT CAPABILITY  
Examiner: Not Yet Assigned  
Art Unit: Not Yet Assigned

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the 2nd day of April, 2002.

  
Gary S. Engelson, Reg. No. 35,128

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:


Transmitted herewith is/are the following document(s):

- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application
- ☒ Fee Calculation Sheet
- ☒ Petition for One Month Extension of Time
- ☒ Declaration for Patent Application (Robert Seliger, David Fusari)
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1294.00 is enclosed to cover the filing fee. The fee includes \$370.00 for the basic filing fee; \$804 for the additional claims fee; \$55.00 for the one month extension of time fee; and \$65.00 for the surcharge fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

  
Gary S. Engelson, Reg. No. 35,128  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. S01389/70012 GSE  
Date: April 2 2002  
X04/14/02

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Attorney Docket No. S01389/70012 GSE

Inventor(s): Robert Seliger et al.

Serial No: 10/014,341

Filed: December 11, 2001

For: CONTEXT MANAGEMENT WITH AUDIT CAPABILITY



CHECK BOX, if applicable:

☐ DUPLICATE

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	86-20=	66 x	\$ 18	= \$ 1188.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	8-3=	5 x	\$ 84	= \$ 420.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Fee for Petition for Extension of Time (if any)				\$ 110.00
	Total of above Calculations =				\$ 2458.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1229.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (surcharge)				\$ 65.00
	TOTAL =				\$ 1294.00

1. A check in the amount of \$1294.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 ■ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Gary S. Engelson, Reg. No. 35,128  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210-2211  
 (617) 720-3500  
 Attorneys of Record

Docket No. S01389/70012 GSE  
 Date: April 2, 2002